

ENTITY _____ 2017 ICQs _____

FINAL RISK ASSESSMENT
SINGLE AUDIT

June 30, 2017

Instructions: Circle level of assessed risk for each common requirement for each major program.

Common Requirements:

- A. Activities Allowed or Unallowed
- B. Allowable Costs/Cost Principles
- C. Cash Management
- D. Reserved
- E. Eligibility
- F. Equipment and Real Property
- G. Matching, Level of Effort, Earmarking
- H. Period of Performance
- I. Procurement, Suspension and Debarment
- J. Program Income
- K. Reserved
- L. Reporting
- M. Subrecipient Monitoring
- N. Special Tests and Provisions

Major Program (CFDA#):		Major Program (CFDA#):		Major Program (CFDA#):	
Applicable?	Risk of material non-compliance with laws/regs.	Applicable?	Risk of material non-compliance with laws/regs.	Applicable?	Risk of material non-compliance with laws/regs.
	Control Risk		Control Risk		Control Risk
Y N	Mx S M L	Y N	Mx S M L	Y N	Mx S M L
Y N	Mx S M L	Y N	Mx S M L	Y N	Mx S M L
Y N	Mx S M L	Y N	Mx S M L	Y N	Mx S M L
Y N	Mx S M L	Y N	Mx S M L	Y N	Mx S M L
Y N	Mx S M L	Y N	Mx S M L	Y N	Mx S M L
Y N	Mx S M L	Y N	Mx S M L	Y N	Mx S M L
Y N	Mx S M L	Y N	Mx S M L	Y N	Mx S M L
Y N	Mx S M L	Y N	Mx S M L	Y N	Mx S M L
Y N	Mx S M L	Y N	Mx S M L	Y N	Mx S M L
Y N	Mx S M L	Y N	Mx S M L	Y N	Mx S M L
Y N	Mx S M L	Y N	Mx S M L	Y N	Mx S M L
Y N	Mx S M L	Y N	Mx S M L	Y N	Mx S M L
Y N	Mx S M L	Y N	Mx S M L	Y N	Mx S M L
Y N	Mx S M L	Y N	Mx S M L	Y N	Mx S M L

Applicable?

Y = Yes
N = Not applicable

Control Risk (CR)

Mx = Maximum
S = Slightly below maximum
M = Moderate
L = Low