Sample City CITY

2016 COMPLIANCE GUIDE SUPPLEMENT

Purpose: To document new or revised compliance items to be tested and/or document testing.

Source: Workpapers as referenced.

Scope: Compliance items tested each year are based on risk assessment.

Conclusion: Compliance selections and/or testing is documented per Compliance Guide and workpapers.

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| --- | --- | --- |
|  | FY16 | FY17 |
| Incharge |  |  |
| Manager |  |  |
| Independent Reviewer |  |  |

In addition to the updated City Compliance Guide, we have made available this 2016 City Compliance Guide Supplement which details all changes made to the prior year City Compliance Guide. This Supplement may be used to update the prior year Compliance Guide in lieu of completing a new guide each year.

|  |  | **Non-compl iance Noted/FY** |  | | | | **FY16** | | | | **FY17** | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Cate- gory** | **Sele-cted** | **Done By** | **WP Ref** | **Remarks** | **Sele-cted** | **Done By** | **WP Ref** | **Remarks** | **Sele-cted** | **Done By** | **WP Ref** | **Remarks** |
| **DISBURSEMENTS/EXPENDITURES:** |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 10. **(16)** Determine if the City has provided the necessary information to the Department of Transportation to track use of increased excise tax on motor fuel revenue pursuant to Chapter 312.2 of the Code of Iowa. Funds are to be used exclusively for critical road and bridge construction projects that significantly extend the life of such assets. | 2 |  |  |  |  |  |  |  |  |  |  |  |  |  |
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