

2019 Compliance Guides **COUNTY COMPLIANCE GUIDE**

	Cate- gory	Non-compl iance Noted/FY	FY19				FY20				FY21			
			Sele- cted	Done By	WP Ref	Remarks	Sele- cted	Done By	WP Ref	Remarks	Sele- cted	Done By	WP Ref	Remarks
			RISK				RISK				RISK			
			H	M	L		H	M	L		H	M	L	
DISBURSEMENTS/EXPENDITURES:														
1.														
a.														
b.														
2.														
a.														
b.														
3.														

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			H	M	L		H	M	L		H	M	L	
DISBURSEMENTS/EXPENDITURES (continued):														
6.														
Determine proceeds of the 911 surcharge were spent in accordance with Chapter 34A.7(5) of the Code of Iowa.		2												
7.														
Determine if the Records Management Fees were expended in accordance with Chapter 331.604(2)(b) of the Code of Iowa.		2												
8.														
Community Disaster Grants – Determine funds were disbursed in accordance with Iowa Administrative Code (IAC) Section 605 (Iowa Homeland Security and Emergency Management Agency) Chapter 13.4 and as specified in the grant application.		2												
9.														
Flood Mitigation Program – Determine funds were disbursed in accordance with Chapter 418.0 of the Code of Iowa.		2												
10.														
Reinvestment Project Fund – Determine funds were disbursed for the payment of annual rent and were in accordance with Chapter 324.27(22) of the Code of Iowa		2												
11.														
Joint County-City Building – Determine funds were disbursed for the payment of annual rent and were in accordance with Chapter 346.27(22) of the Code of Iowa.		2												

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			H	M	L		H	M	L		H	M	L	
DISBURSEMENTS/EXPENDITURES (continued):														
12.														
Determine how the County is tracking the use of increased excise tax on motor fuel revenue pursuant to Chapter 312.2 of the code of Iowa. Funds are to be used exclusively for critical road and bridge construction projects that significantly extend the life of such assets.														
		2												
13.														
Determine if the County Engineer, by September 15, has certified and filed a report with the Department of Transportation the manner in which money received as part of the road use tax fund were used to repair or replace structurally deficient bridges in the County. Pursuant to Chapter 309.22, this report should contain the number of bridges which have been fully replaced or partially replaced or repaired, the number of bridges in the process of being replaced or repaired, and the number of bridges which remain structurally deficient with a description of the timeline for replacement or repair of each bridge.														
		2												